

1 Letter for *Ecology Letters*

2

3 **L-DOPA functions as a plant pheromone for belowground anti-herbivory communication**

4

5 Pasquale Cascone¹, Jozsef Vuts², Michael A. Birkett², Sarah Dewhurst³, Sergio Rasmann⁴, John A.
6 Pickett⁵, Emilio Guerrieri^{1,6*}

7

8 ¹Institute for Sustainable Plant Protection, Consiglio Nazionale delle Ricerche, P.le Enrico Fermi 1,
9 80055 Portici, Napoli, Italy

10 ²Biointeractions and Crop Protection Department, Rothamsted Research, Harpenden, Hertfordshire,
11 AL5 2JQ, United Kingdom

12 ³Arctech Innovation Keppel St, London WC1E 7HT, United Kingdom

13 ⁴Institute of Biology, University of Neuchatel, Rue Emile-Argand 11, 2000 Neuchatel, Switzerland

14 ⁵School of Chemistry, Cardiff University, Cardiff, CF10 3AT, United Kingdom

15 ⁶Institute for Sustainable Plant Protection, Consiglio Nazionale delle Ricerche, Strada delle Cacce 73,
16 10135 Torino, Italy

17

18 emails:

19 PC: pasquale.cascone@ipsp.cnr.it

20 JV: jozsef.vuts@rothamsted.ac.uk

21 MAB: mike.birkett@rothamsted.ac.uk

22 SD: Sarah.Dewhurst@arctechinnovation.com

23 SR: sergio.rasmann@unine.ch

24 JAP: PickettJ4@cardiff.ac.uk

25 EM: emilio.guerrieri@ipsp.cnr.it

26

27 ***Correspondence:** Emilio Guerrieri, Institute for Sustainable Plant Protection, Consiglio Nazionale
28 delle Ricerche, Strada delle Cacce 73, 10135 Torino, Italy telephone: +39 347 802 8416, email:
29 emilio.guerrieri@ipsp.cnr.it

30

31 **Running title:** L-DOPA functions as a plant pheromone

32

33 **Statement of authorship:** EG, JAP and MAB conceptualization; EG, JAP, MAB and PC designed the
34 research; PC, JV, SD, AS performed bioassay; PC, JV, MAB, JAP, SR and EG analyzed data; EG, JAP,
35 SR and MAB wrote the paper.

36 **Data accessibility statement:** All data, and codes used in the analysis are deposited in Zenodo public
37 database: <https://doi.org/10.5281/zenodo.7145272>

38

39 **Number of words in the abstract: 125**

40 **Number of words in the main text: 5.776**

41 **Number of references: 52**

42 **Number of figures: 3**

43

44 **Abstract**

45 While mechanisms of plant-plant communication for alerting neighbouring plants of an imminent insect
46 herbivore attack have been described aboveground via the production of volatile organic compounds
47 (VOCs), we are yet to decipher the specific components of plant-plant signalling belowground. Using
48 bioassay-guided fractionation, we isolated and identified the non-protein amino acid L-DOPA, released
49 from roots of *Acyrtosiphon pisum* aphid-infested *Vicia faba* plants, as an active compound in triggering
50 the production of VOCs released aboveground in uninfested plants. In behavioural assays, we show that
51 after contact with L-DOPA, healthy plants become highly attractive to the aphid parasitoid (*Aphidius*
52 *ervi*), as if they were infested by aphids. We conclude that L-DOPA, originally described as a brain
53 neurotransmitter precursor, can also enhance immunity in plants.

54

55

56 **Keywords:** Plant-plant signalling, Root exudates, Aphids, Parasitoids, VOC, plant immunity

57

58

59 Introduction

60 Plant communication with other organisms mainly relies on the release of constitutive or stress-induced
61 chemical signals that travel both through the air headspace or the soil matrix (Bruin & Dicke 2001;
62 Karban 2008; Erb *et al.* 2015). In the rhizosphere, comprising the complex soil environment in close
63 contact with plant roots, plants contribute a steady production of root exudates, including ions, free
64 oxygen and water, enzymes, mucilage, and a variety of other secondary metabolites (Rovira 1969).
65 Once released, root exudates can function as signals regulating plant-microbe (Badri & Vivanco 2009),
66 plant-animal (Johnson & Rasmann 2015) and plant-plant interactions (Bais *et al.* 2006). Belowground
67 plant-plant communication has been proven to mediate key ecological interactions, such as competition
68 and facilitation, in both natural and applied systems, and several molecules have been identified as key
69 agents of chemical communication (van Dam & Bouwmeester 2016).

70
71 Emerging evidence indicates that belowground plant-plant communication can also serve to signal
72 neighbouring plants of a recent aboveground insect herbivore attack. For instance, it was shown that a
73 warning signal can run through the common mycelial network of the arbuscular mycorrhizal fungi to
74 alert neighbouring healthy plants of current aphid attack (Babikova *et al.* 2013). It was also previously
75 demonstrated that uninfested *Vicia faba* (Fabaceae) plants maintained in the same pot together with
76 plants infested by the pea aphids *Acyrtosiphon pisum* (Homoptera: Aphididae) became more attractive
77 towards the aphid parasitoids *Aphidius ervi* (Hymenoptera: Braconidae) than when placed in the same
78 pot with healthy plants (Guerrieri *et al.* 2002). This change in attractiveness was not observed when
79 root contact was prevented among plants that had their aerial parts in close proximity, and thus freely
80 exchanging aboveground volatile organic compounds (VOCs) (Guerrieri *et al.* 2002). These results
81 were further confirmed using hydroponic growing conditions. Uninfested *V. faba* plants placed in
82 hydroponic solution that was previously used to grow aphid-infested plants became attractive to *A. ervi*
83 parasitoids, whereas placing them in the hydroponic solution of uninfested plants did not change their
84 attractiveness (Guerrieri *et al.* 2002).

85
86 Accordingly, as shown in the *Vicia*-aphid-parasitoid system, plant-plant signalling can also occur within
87 the rhizosphere. Since it only works when roots are in contact, we hypothesized that such belowground
88 plant-plant signalling is mediated by a systemically translocated root-borne elicitors. We therefore
89 predicted that insect herbivore-damaged plants would be induced to produce a unique blend of
90 molecules that elicits a response in neighbouring plants if in contact through the soil matrix. Because
91 herbivore-damaged plants can modify their internal chemistry (Karbon & Baldwin 1997) to either
92 directly become more toxic to herbivores (Farmer & Ryan 1992) or indirectly by attracting herbivore
93 natural enemies via the emission of VOCs above and belowground (Kost & Heil 2006; Heil 2008; Dicke
94 & Baldwin 2010), we also predicted that response elicitation in neighbouring plants could be observed
95 in the form of changes in leaf chemistry aboveground (Bezemer & van Dam 2005). Here, we report on

96 a series of plant-plant communication bioassays and bioassay-guided fractionation analyses that
97 ultimately characterized the amino acid L-DOPA, a known neurotransmitter precursor, as one of the
98 elicitors released by the roots of aphid-damaged *V. faba* plants. We show that root contact with L-DOPA
99 altered the aboveground headspace chemical profile of healthy plants, which then attracted more aphid
100 parasitoids than plants not treated with L-DOPA.

101

102 **Materials and Methods**

103 *Insects* - The parasitoid *Aphidius ervi* was reared on its natural host, the pea aphid *Acyrtosiphon pisum*
104 maintained on potted broad bean (*Vicia faba*) plants, cv. Aquadulce (Guerrieri *et al.* 1993). Aphid and
105 parasitoid cultures were kept in separate environmental chambers at 20±1°C, 75±5% relative humidity,
106 and 18L: 6D photoperiod. Insect parasitoids used in the bioassays were reared as synchronized cohorts
107 by exposing heavily infested plants for 24 h to 1-day-old mated females; after a week, the resultant
108 mummies were clipped from the plant and isolated in glass test tubes (60 x 8 mm) plugged with cotton
109 wool. Experimental females were used within the first day after emergence, mated, and fed with a 50%
110 honey solution. All experiments were conducted 3 hr from the onset of the photophase.

111

112 *Plants* - Plant material in hydroponic solution: Broad bean seeds (*Vicia faba* L., cultivar Aquadulce)
113 were soaked in water for 24 h, then potted in vermiculite and kept in a controlled environment room at
114 20°C. After 5 days, the seedlings were gently removed from the vermiculite, the seed coat discarded
115 and the roots rinsed with water, carefully removing any vermiculite residue. Two seedlings were then
116 placed in a glass beaker containing a hydroponic solution made with Murashige and Skoog basal salt
117 mixture (2 g L⁻¹, Duchefa Biochemies, The Netherlands) and placed in a glasshouse (20°C, L:D 16:8
118 h). Each beaker was wrapped in aluminium foil to hold the plants in position and to prevent the light
119 from reaching the roots. Every 2-3 days, the hydroponic solution was renewed. For further experiments,
120 specifically after identification 0.1 ppm or 0.01 ppm of the active compounds in the attractive root
121 exudate blends (see methods below), each pure compound (L-DOPA or D-DOPA) was added to the
122 beakers with clean hydroponic solution and two seedlings were transferred into it and kept as described
123 above for 24 h before testing them in the wind-tunnel.

124 *Plant material in soil*: Broad bean seeds (*Vicia faba* L., cultivar Aquadulce) were soaked in water for
125 24 h, then potted (2 plants/pot) in sterile soil and kept in a glasshouse at 20±2°C. The distal end of a
126 Teflon tube (20 cm, 1 cm diameter) covered with parafilm and pinched with a nail to make holes along
127 5 cm was inserted in each pot and as close as possible to plant roots. After 14 days, 0.1 ppm of each
128 pure compound (L-DOPA or D-DOPA) were syringed through the apical end of the Teflon pinched tube
129 emerging from the soil and left for 24 h before testing them in the wind-tunnel.

130

131 *Collection and bioassay-guided fractionation of root exudates and identification of L-DOPA in the*
132 *finally active fraction* - After a renewal of hydroponic solution, half of the beakers, **containing two-**
133 **week-old plants**, were infested with 100 mixed-age *A. pisum* (P+A). **In our experiments, we considered**
134 **an infestation well above the calculated thresholds of 50 aphids feeding for 72 hours needed to record**
135 **a change in the behaviour of the aphid parasitoid *A. ervi* (Guerrieri et al, 1999). Nonetheless, the aphid**
136 **population tested corresponds to an initial state of infestation considering that a single female aphid**
137 **colonizing a plant reproduce by telytokous parthenogenesis and viviparity resulting in the production**
138 **of tenth of nymphs each starting reproducing in a few days.** After 3 days, the hydroponic solution from
139 uninfested (P) and infested (P+A) plants was collected and filtered using filter paper to remove any
140 debris. Organic compounds present in the solutions were extracted by solid-phase extraction (SPE) from
141 P and P+A solutions (~10 beakers equalling ~2 L per replicate). The SPE columns were 6 ml cartridges
142 containing Evolute C18 sorbent (500 mg, Biotage, UK). The cartridges were conditioned prior to
143 extraction using HPLC grade methanol (2 ml), followed by displacement by distilled water (2 ml). The
144 extractions were performed using a VacMaster-10 SPE manifold (IST, UK). The cartridges were then
145 extracted with methanol (2 ml). This was repeated 40 times. Ten replicates (~100 beakers) were
146 combined and the resulting solution was rotary evaporated to dryness. The compounds were re-
147 dissolved into HPLC water or ethanol (5 ml, 50 µl per beaker) for bioassay or further fractionation and
148 chemical analysis. For the identification of the DOPA enantiomer, chiral separation was achieved on
149 an ACE 5 C18 column (250 mm × 4.6 mm; 5 µm particle size; Thermo Scientific, USA). The mobile
150 phase was 1 mM CuSO₄, 3 mM phenylalanine, 0.01% trifluoroacetic acid, 1% acetonitrile in HPLC
151 H₂O. The flow rate was maintained at 1 mL min⁻¹ or 0.5 mL min⁻¹ and isocratic conditions for 20 min
152 (Wu *et al.* 2006; Husain *et al.* 1994). Detection was at 280 nm, injected volume was 10 µL. 1 mg/mL
153 DOPA standard concentrations were used. C18 root exudate extracts were analysed and fractionated on
154 an ACE 5 C18 column (250 mm × 10 mm; 5 µm particle size; Thermo Scientific, USA) by HPLC
155 (Shimadzu prominence, Shimadzu Corporation, Kyoto, Japan). The mobile phase A was 5% formic
156 acid in HPLC H₂O, and mobile phase B was acetonitrile. The flow rate was maintained at 1 mL min⁻¹,
157 starting with isocratic conditions at 5% B for 10 min, then linear gradient program to 60:40 (A:B) at
158 25 min, to 30:70 at 40 min, to 5:95 at 41 min and isocratic for 5 min, then to 95:5 at 45 min and isocratic
159 for 5 min. Three fractions were collected at 0-15min (Fraction 1), 15-40min (Fraction 2) and from 40-
160 55 min (Fraction 3). Fraction 1 was then fractionated into four sub-fractions 0-6min (Fraction 1a), 6-12
161 min (Fraction 1b), 12-24 min (Fraction 1c) and 24-55 min (Fraction 1d). Detection was at 280 nm,
162 injected volume was 10 µL.

163

164 *Wind tunnel bioassays* - For each experimental condition, a total of ten plants grown **hydroponically or**
165 **in soil as described above were** used and tested in a wind-tunnel (see Guerrieri *et al.* (1999) for details)
166 daily in a random order to reduce any bias related to the time of the experiments. One hundred parasitoid
167 females were tested singly for each target in no-choice experiments, and observed for a maximum of 5
168 min. The percentage of response (oriented flights, landings on the target) to each target plant was
169 calculated. The parameters of the bioassay were set as follows: temperature, 20 ± 1 °C; $65 \pm 5\%$ RH;
170 wind speed, 25 ± 5 cm s⁻¹; distance between releasing vial and target, 50 cm; PPFD at releasing point,
171 $700 \mu\text{mol m}^2 \text{s}^{-1}$.

172

173 *Air entrainment of plants treated with synthetic L-DOPA and D-DOPA* - After bean plants were grown
174 in hydroponic solution for 10 days, the hydroponic solution was replaced (200 mL) and treated with L-
175 DOPA (10 μg), D-DOPA (10 μg) or HPLC water (control, 10 μL) (n=15 replicates/treatment). After
176 24 h, the bean plants were enclosed in Multi-Purpose Cooking Bags [poly(ethyleneterephthalate)] or
177 PET, volume 3.2 L, $\sim 12.5 \mu\text{m}$ thickness, max. 200°C, Sainsbury's Supermarkets Ltd., London, UK].
178 The bottom of the bag was enclosed around the top of the beaker containing the hydroponic solution.
179 The inlet was fitted to the open end of the bag, and the outlet was fitted to a corner of the bag after
180 cutting off with scissors. Air that had been purified by passage through an activated charcoal filter
181 (BDH, 10-14 mesh, 50 g) was pushed into (750 mL/min) and pulled (700 mL/min) out of the bags.
182 Volatiles were trapped onto Tenax (50 mg; Supelco, Bellefonte, USA) held in glass tubing (5 mm outer
183 diameter) by two plugs of silanised glass wool. The Tenax was conditioned by washing with
184 dichloromethane (2 mL), followed by redistilled diethyl ether (2 mL) and heating at 132°C for 2 h under
185 a stream of purified nitrogen. After 24 h, the Tenax tubes were sealed in glass ampoules in an
186 atmosphere of nitrogen and stored at -20°C until analysis. Volatile sample analysis Tenax tubes were
187 inserted into the OPTIC PTV unit of a GC (30- \rightarrow 250°C ballistically at a rate of 16°C/s) connected to a
188 Micromass Autospec Ultima magnetic sector mass spectrometer (Waters, Milford, MA). The GC
189 (Agilent 6890 N) was fitted with a 50 m \times 0.32 mm i.d. \times 0.52 μm film thickness HP-1 column (Agilent,
190 Santa Clara, CA, USA). Ionization was by electron impact (70 eV, 220°C). The GC oven temperature
191 was maintained at 30°C for 5 min and then programmed to increase at 5°C/min to 250°C, with a 70-
192 min run time. The identity of peaks was confirmed by comparison of their GC and GC-MS properties
193 with those of authentic standards (see Sasso *et al.* (2007) for details), and by GC peak enhancement
194 using authentic samples. The enantiomeric composition of linalool was already determined as (*R*)-
195 linalool for this plant by (Webster *et al.* 2008). Quantification of compounds was achieved by the single-
196 point external standard method with a series of C7-C22 alkanes, where the amount of an analyte was
197 estimated using the peak area of the nearest alkane peak, the amount of which was known.

198

219 *Statistical analysis* - The number of parasitoids responding to each target was compared **with** a G-test
220 for independence with William's correction using the RVAideMemoire package (Hervé 2018) in R (R
221 Development Core Team 2020). The resulting values of G were compared with the critical values of
222 Chi-square. To assess differences in VOCs across DOPA treatments, we first performed a Distance-
223 based redundancy analysis (*dbRDA*) after pareto-transformation of the data and based on Gower
224 distance (*capscale* function in *vegan*, (Oksanen *et al.* 2013). **The amount of DOPA and other peaks in**
225 **the P and P+A extracts was compared using ANOVA (p=0.05) investigating the effect of `treatment`,**
226 **`peak number` and `treatment × peak number`. Peak area/weight values were square root-transformed**
227 **for the analysis.** We visualized the clusters of species across the three treatments (control, D-DOPA,
228 and L-DOPA) using linear discriminant analysis on the VOCs data matrix (*lda* function in the *mass*
229 package (Ripley *et al.* 2013)). Next, to measure the interactive effect of treatment and VOCs identity
230 on VOCs production, we run a two-way generalized linear model (function *glm* in R stats) on log₁₀-
231 transformed data using a Poisson family distribution. Model fit results were followed by Fisher's Least
232 Significant Difference (LSD) test for detecting treatment effects across individual VOCs (p < 0.05)

213

214 **Results**

215 *Bioassay-guided fractionation* - To measure the activity of the root exudates released by damaged
216 plants, we sampled *V. faba* root exudate extracts using reverse-phase (C₁₈) solid-phase extraction (SPE)
217 from uninfested plants (plants without aphids: Plant only: P), and pea aphid (*A. pisum*)-infested plants
218 (Plant+Aphid: P+A). Using wind-tunnel bioassays, we show that about four times more *A. ervi* oriented
219 to (G test, $\chi = 44.800$, p < 0.001) and landed on (G test, $\chi = 10.303$, p = 0.001) *V. faba* plants grown in
220 hydroponic solution treated with P+A extract compared to those treated with P alone (Fig. 1A,B). The
221 chemical signal present in P+A root exudate was then identified by bioassay-guided fractionation giving
222 three fractions of different polarity. Seven times more *A. ervi* oriented to and landed on *V. faba* plants
223 treated with *fraction 1* (the most polar fraction) from P+A, compared with the similar HPLC fraction
224 of P (Fig. 1C; G test, $\chi = 45.297$, p < 0.001; G test, $\chi = 11.514$, p < 0.001). No significant synergistic
225 effects of combining fractions were observed for oriented flights and landings (Fig. 1C; G test, $\chi =$
226 3.306, p = 0.069; G test, $\chi = 0.471$, p = 0.492). *Fraction 1* was then further fractionated into four
227 subfractions (Fig. 1A-D) of different polarities, of which the *a* and *d* subfractions showed the most
228 significant effect in eliciting the indirect defence in terms of oriented flights (Fig. 1D; G test, $\chi = 38.339$,
229 p < 0.001, G test, $\chi = 43.625$, p < 0.001, respectively) and in terms of landings (Fig. 1D; G test, $\chi =$
230 20.723, p < 0.001, G test, $\chi = 14.748$, p < 0.001, respectively). Thus by further analysing *fraction 1a*
231 using peak enhancement by co-injection with enantiomerically pure authentic standards, we identified
232 L-DOPA (RT=4.276 min under our HPLC conditions) (Fig. 1E) as one key active compound mediating
233 plant-plant communication. **The estimated amount of exuded L-DOPA by infested plants was 5.67**

234 $\mu\text{g}/\text{g}/\text{day}$ and by uninfested plants was $4.95 \mu\text{g}/\text{g}/\text{day}$ (ANOVA, $df=1$, $p=0.001$). Subsequent bioassays
235 using pure compounds showed that about 5 times more *A. ervi* oriented to (G test, $\chi = 48.643$, $p < 0.001$)
236 and about 3 times more landed on (G test, $\chi = 16.794$, $p < 0.001$), *V. faba* plants grown in hydroponic
237 solution treated with L-DOPA relative to when treated with D-DOPA (at both concentrations of 0.1 ppm
238 and 0.01 ppm) and relative to untreated *V. faba* plants (Fig. 1F), **indicating enantiomers -dependent**
239 **activity**. No dose-dependent effect was noted for L-DOPA in terms of oriented flights (Fig. 1F;
240 0.01ppm: 35.4% vs 0.1ppm: 48.4%; G test, $\chi = 3.378$, $p = 0.066$) and landings (Fig. 1F; 0.01ppm: 18.7%
241 vs 0.1ppm: 24.7%; G test, $\chi = 0.656$, $p = 0.418$). These response patterns were subsequently confirmed
242 by performing experiments with plants grown in soil and treated with synthetic L-DOPA at a dose of
243 0.1 ppm (Fig. 1F; G test, $\chi = 27.496$, $p < 0.001$; G test, $\chi 11.121$, $p < 0.001$). **While we found that**
244 **fraction 1d was also attractive, we were not able to fully elucidate the molecular structure of each**
245 **molecule in that faction. We therefore opted to only focus on the activity of L-DOPA in this study, but**
246 **we acknowledge that other compounds in the root exudate extract might also activate neighbouring**
247 **plant's defences.**

248

249 *Induction of VOCs in neighbouring plants* - By means of gas chromatography coupled to mass-
250 spectrometry (GC-MS) analysis of the leaf headspace of *V. faba* plants grown in hydroponic solution
251 with L-DOPA, or D-DOPA isomers, we found a total of nine compounds which varied significantly
252 across treatments (Fig. 2; ANOVA based on 999 permutations, $F_{2,24} = 2.08$, $p = 0.034$). Across all VOCs,
253 we also found that **some compounds were more induced than others by L-DOPA** (treatment effect; LR
254 $\chi = 10.306$, $p = 0.006$; and VOCs by treatment interaction; LR $\chi = 11.601$, $p = 0.771$). Specifically, we
255 show that L-DOPA-treated plants released 10 times and 5 times more methyl salicylate, 3 times and 4
256 times more of the sesquiterpene (*E*)-ocimene, 3 times and 7 times more (*E*)-caryophyllene than control
257 (untreated) and D-DOPA treated plants, respectively (Fig. 3).

258

259 **Discussion**

260 The emerging paradigm is that plants may detect chemicals, released from conspecific or heterospecific
261 neighbouring plants, and in response change their physiology or chemistry (Arimura *et al.* 2000; Karban
262 2008). Aboveground, the main players of plant-plant signalling are the volatile organic compounds
263 (VOCs), particularly those released in response to biotic stresses. In this context, an ever-growing body
264 of literature is showing that VOCs emitted by herbivore-damaged plants increase resistance of
265 neighbouring undamaged plants (Karban *et al.* 2014). Responses in the receiving plants include
266 priming, which leads to enhanced defence induction upon subsequent insect attack (Erb *et al.* 2015), or
267 full induction of direct (Moreira *et al.* 2016) or indirect (i.e., the attraction of natural enemies of the
268 herbivores) defences (Turlings & Erb 2018).

269

270 Belowground, plant-plant interaction can also rely on the release and perception of chemicals in **the**
271 form of volatile or non-volatile root exudates (Bais *et al.* 2006), or **those** that can travel through the
272 mycelial network connecting neighbouring plants (Song *et al.* 2010; Barto *et al.* 2012; Babikova *et al.*
273 2013). Among the main functions of plant-plant signalling belowground is the kin/non kin recognition,
274 so to alter the development of roots and regulate nutrient and water acquisition. For example,
275 allelopathic rice cultivars generated avoidance patterns in the roots of other rice cultivars and several
276 paddy weed species (Yang & Kong 2017). By far less studied is the role of root exudates in mediating
277 plant-plant communication in response to herbivore attack (Moreira & Abdala-Roberts 2019). For
278 example, it was shown that aphid-free plants became repellent to aphids but attractive to aphid
279 parasitoids when they were connected to aphid-infested plants via a common mycorrhizal mycelial
280 network (Babikova *et al.* 2013). In this example, the mycelia network likely served as conduit for
281 information exchange between the healthy and attacked plants, eliciting in the latter a change in the
282 production and release of aboveground VOCs, particularly methyl salicylate. We here demonstrated
283 that belowground plant-plant communication, involving changes in aboveground VOC production of
284 healthy plants **during** ongoing aphid attack on neighbouring plants, occurs even in the absence of a
285 fungal connection. Specifically, **we found that within the complex root exudates blend**, a non-volatile
286 compound, the non-protein amino acid L-DOPA, is exuded by the roots of damaged plants and is
287 perceived as an alarm signal by neighbouring plants. **In the soil, amino acids have been shown to occur**
288 **as “free” (i.e., not covalently bound to any other chemical entity), dissolved in the soil aqueous solution,**
289 **or bound to soil colloids or to soil organic matter (Vranova et al 2011; Moe, 2013). There is also ample**
290 **evidence that amino acids can move from the rhizosphere into plant roots (reviewed by Nasholm et al.**
291 **2009), and thus move within the soil matrix. Accordingly, we show that by placing L-DOPA in the**
292 **rhizosphere, the plants sense it somehow, and active VOCs production. However, how long L-DOPA**
293 **remains in the soil, and how far and how fast this compound can travel in the soil matrix remains an**
294 **open question that merits future investigations, also by comparing different substates.**

295
296 **Independently of the mechanism of movement in the soil, we show that neighbouring *V. faba* plants**
297 **responded to the presence of L-DOPA** by inducing methyl salicylate, (*E*)-ocimene and (*E*)-
298 caryophyllene **production**, all compounds known to attract aphid parasitoids (Du *et al.* 1998; Sasso *et*
299 *al.* 2007, 2009; Babikova *et al.* 2013) **and predators** (Zhu and Park 2005). For instance, tomato plants
300 attacked by the potato aphid *Macrosiphum euphorbiae* also increased significantly the production of
301 methyl salicylate and (*E*)-caryophyllene, which was linked to the increased attraction of the parasitoid
302 *A. ervi* (Sasso *et al.* 2007; Sasso *et al.* 2009). Similarly, plants treated with *cis*-jasmonone, a plant-derived
303 insect feeding-related signal, were more attractive for *A. ervi*, and this attraction was associated with
304 the induction of (*E*)-ocimene (Birkett *et al.* 2000), later confirmed in experiments using transgenic
305 tobacco plants (Cascone *et al.* 2015). The emission of (*Z*)-3-hexenyl acetate, 6-methyl-5-hepten-2-one

306 and (Z)-3-hexenol, which are known to attract *A. ervi* (Du *et al.* 1998; Sasso *et al.* 2007; Sasso *et al.*
307 2009), was enhanced, although not significantly, in L-DOPA-treated plants (Fig. 3).

308

309 **In addition to being exuded from roots, non-protein amino acids such as L-DOPA can be** easily
310 translocated within plant tissues and can be reused or diverted to primary metabolism when needed
311 (Huang *et al.* 2011). **The** leaves and pods of *V. faba* plants contain high quantities of L-DOPA (Burbano
312 *et al.* 1995), whose presence can affect the community of insect herbivores attacking these plants.
313 Accordingly, it has been shown that L-DOPA is detrimental for most generalist herbivores, whilst it is
314 exploited in different ways by specialists. For example, it was shown that *A. pisum* can sequester this
315 compound, which was reported to provide benefits for wound healing and protection against UVA-
316 radiation (Huang *et al.* 2011). For the other legume specialist aphid, *Aphis fabae*, it was shown that L-
317 DOPA can act as a powerful feeding stimulant (Jördens & Klingauf 1977). Therefore, L-DOPA can be
318 directly co-opted by insect herbivores for their own benefits. In the perpetual battle between plants and
319 insect herbivores, evolution acts on fostering adaptations and counter-adaptions for attacking and
320 defensive strategies (Ehrlich & Raven 1964). In this scenario, plants can only escape the attack of an
321 herbivore by developing more potent means of defence, such as the production of novel toxic secondary
322 metabolites. In response, the herbivores can continue feeding on the plant if they develop means of
323 tolerating or overcoming the novel toxic agent. Conversely, the subtle action of indirect defences,
324 associated to the release of specific VOCs that facilitate the foraging behaviour of predators or
325 parasitoids of the herbivore, is, evolutionarily speaking, invisible to the targeted pest on which no
326 immediate selective pressure is posed (Kessler & Heil 2011). Therefore, broad bean plants seem to have
327 counter-balanced the selective pressure of the specialist aphid *A. pisum* to cope with a toxic compound
328 (L-DOPA) by diverting the function of this compound so to deliver an indirect effect of resistance
329 induced in neighbouring plants. Plant-plant communication regulated by specific elicitors such as L-
330 DOPA **amplifies** the indirect resistance response to a biotic stress from a single individual to community
331 level. We know that in the same system the release of specific VOCs regulating the attraction of natural
332 enemies is associated to a specific infestation threshold, in terms of number of feeding aphids and
333 duration of their feeding activity (Guerrieri *et al.* 2002). We here show that at the same time the broad
334 bean plant responds to aphid infestation aboveground, as well as belowground, by conveying a specific
335 signal to conspecific neighbours eliciting the release of similar VOCs. The efficiency of parasitoid
336 foraging behaviour relies on the reliability and detectability of plant semiochemicals (Vet & Dicke
337 1992). The amplification of plant responses, from individuals to the entire community, seems to better
338 fulfil both requirements. In fact, herbivore-induced VOCs reliably indicate to parasitoids the presence
339 of their target victim. Moreover, it is worth noting that the VOCs released in response to aphid attack
340 can also **function as direct defences**. For example, methyl salicylate reduced the number of fixed aphids
341 and the reproductive rate of fixed ones by more than two thirds (Digilio *et al.* 2012). Therefore, to
342 summarize, *V. faba* plants have evolved the ability to perceive stress signals in neighbouring plants both

343 above- and belowground. Independently of the mode of communication, the healthy perceiving plants
344 induce the production of key volatile compounds that can directly inhibit future aphid infestation, and
345 at the same time, these VOCs can also attract natural enemies of the aphids in their surroundings.
346 However, evolutionarily speaking, why do plants alert their conspecific neighbours of an imminent
347 herbivore attack remains a matter of debate (Kessler and Heil 2011). In this case, we can argue that
348 within an extended and densely packed crop field, the successful detection of an herbivore on a damaged
349 plants by a parasitoid should be very scarce. Therefore, by allowing the signal to be amplified by their
350 neighbours, a set of individual plants should facilitate the foraging success of parasitoids (Vet & Dicke,
351 1992), whose impact on the aphid population is usually visible with some delay in respect to the action
352 of a predator. In fact, the enhanced release of methyl salicylate induced in our system by L-DOPA, has
353 been shown to be also effective in attracting insect predators such as ladybugs (Zhu and Park, 2005),
354 hence more broadly boosting the biological control of aphid pests.

355

356 The discovery of L-DOPA, a neurotransmitter precursor in animals, acting in the rhizosphere as a plant
357 defensive pheromone supports the paradigm of divergent evolutionary outcomes for the activity of the
358 same molecule, spanning the plant and animal kingdoms. Similarly, GABA, another non-protein amino-
359 acidic neurotransmitter found in animal brains, was discovered to function as signalling molecule for
360 plant development and stress response activation against biotic attack (Zimmerli *et al.* 2000). Plants can
361 therefore co-opt broad-spectrum molecules for their own defence response against insect herbivores,
362 whose activity could be exploited to enhance natural crop resistance against insect pests (Conrath *et al.*
363 2006; Bown & Shelp 2016).

364

365 **Acknowledgements:** We are grateful to Toby Bruce (Keele University, UK), Francesco Pennacchio
366 (University of Naples Federico II, Italy), Ted Turlings (University of Neuchatel, Switzerland) and
367 Christine Woodcock (Rothamsted Research, UK) for commenting on previous versions of the
368 manuscript.

369

370 **Funding:** This work was funded by UKRI grant BB/H001700/1 (JV, MAB, SD, JAP), and a Swiss
371 National Science Foundation grant 310030_204811 (SR).

372

373 **References**

374 1.

375 Arimura, G., Ozawa, R., Shimoda, T., Nishioka, T., Boland, W. & Takabayashi, J. (2000). Herbivory-
376 induced volatiles elicit defence genes in lima bean leaves. *Nature*, 406, 512-515.

377 2.

- 378 Babikova, Z., Gilbert, L., Bruce, T.J.A., Birkett, M., Caulfield, J.C., Woodcock, C. *et al.* (2013).
379 Underground signals carried through common mycelial networks warn neighbouring plants of
380 aphid attack. *Ecol. Lett.*, 16, 835-843.
381 3.
- 382 Badri, D.V. & Vivanco, J.M. (2009). Regulation and function of root exudates. *Plant, Cell Environ.*,
383 32, 666-681.
384 4.
- 385 Bais, H.P., Weir, T.L., Perry, L.G., Gilroy, S. & Vivanco, J.M. (2006). The role of root exudates in
386 rhizosphere interactions with plants and other organisms. *Annu. Rev. Plant Biol.*, 57, 233-266.
387 5.
- 388 Barto, E.K., Weidenhamer, J.D., Cipollini, D. & Rillig, M.C. (2012). Fungal superhighways: do
389 common mycorrhizal networks enhance below ground communication? *Trends Plant Sci.*, 17,
390 633-637.
391 6.
- 392 Bezemer, T.M. & van Dam, N.M. (2005). Linking aboveground and belowground interactions via
393 induced plant defenses. *Trends Ecol. Evol.*, 20, 617-624.
394 7.
- 395 Birkett, M.A., Campbell, C.A., Chamberlain, K., Guerrieri, E., Hick, A.J., Martin, J.L. *et al.* (2000).
396 New roles for cis-jasmone as an insect semiochemical and in plant defense. *Proceedings of the*
397 *National Academy of Sciences*, 97, 9329-9334.
398 8.
- 399 Bown, A.W. & Shelp, B.J. (2016). Plant GABA: Not Just a Metabolite. *Trends Plant Sci.*, 21, 811-813.
400 9.
- 401 Bruin, J. & Dicke, M. (2001). Chemical information transfer between wounded and unwounded plants:
402 backing up the future. *Biochem. Syst. Ecol.*, 29, 1103-1113.
403 10.
- 404 Burbano, C., Cuadrado, C., Muzquiz, M. & Cubero, J.I. (1995). Variation of favism-inducing factors
405 (vicine, convicine and L-DOPA) during pod development in *Vicia faba* L. *Plant foods for*
406 *human nutrition*, 47, 265-274.
407 11.
- 408 Cascone, P., Iodice, L., Maffei, M.E., Bossi, S., Arimura, G.-i. & Guerrieri, E. (2015). Tobacco
409 overexpressing β -ocimene induces direct and indirect responses against aphids in receiver
410 tomato plants. *J. Plant Physiol.*, 173, 28-32.
411 12.
- 412 Conrath, U., Beckers, G.J.M., Flors, V., García-Agustín, P., Jakab, G., Mauch, F. *et al.* (2006). Priming:
413 Getting Ready for Battle. *Mol. Plant-Microbe Interact.*, 19, 1062-1071.
414 13.
- 415 Dicke, M. & Baldwin, I.T. (2010). The evolutionary context for herbivore-induced plant volatiles:
416 beyond the 'cry for help'. *Trends Plant Sci.*, 15, 167-175.
417 14.
- 418 Digilio, M.C., Cascone, P., Iodice, L. & Guerrieri, E. (2012). Interactions between tomato volatile
419 organic compounds and aphid behaviour. *Journal of plant interactions*, 7, 322-325.
420 15.
- 421 Du, Y., Poppy, G.M., Powell, W., Pickett, J.A., Wadhams, L.J. & Woodcock, C.M. (1998).
422 Identification of semiochemicals released during aphid feeding that attract parasitoid *Aphidius*
423 *ervi*. *J. Chem. Ecol.*, 24, 1355-1368.

424 16.

425 Ehrlich, P.R. & Raven, P.H. (1964). Butterflies and plants - a study in coevolution. *Evolution*, 18, 586-
426 608.

427 17.

428 Erb, M., Veyrat, N., Robert, C.A.M., Xu, H., Frey, M., Ton, J. *et al.* (2015). Indole is an essential
429 herbivore-induced volatile priming signal in maize. *Nature Communications*, 6.

430 18.

431 Farmer, E.E. & Ryan, C.A. (1992). Octadecanoid precursors of jasmonic acid activate the synthesis of
432 wound-inducible proteinase inhibitors. *Plant Cell*, 4, 129-134.

433 19.

434 Guerrieri, E., Pennacchio, F. & Tremblay, E. (1993). Flight behaviour of the aphid parasitoid *Aphidius*
435 *ervi* (Hymenoptera: Braconidae) in response to plant and host volatiles. *Eur. J. Entomol.*, 90,
436 415-415.

437 20.

438 Guerrieri, E., Poppy, G., Powell, W., Tremblay, E. & Pennacchio, F. (1999). Induction and systemic
439 release of herbivore-induced plant volatiles mediating in-flight orientation of *Aphidius ervi*. *J.*
440 *Chem. Ecol.*, 25, 1247-1261.

441 21.

442 Guerrieri, E., Poppy, G.M., Powell, W., Rao, R. & Pennacchio, F. (2002). Plant-to-plant communication
443 mediating in-flight orientation of *Aphidius ervi*. *J. Chem. Ecol.*, 28, 1703-1715.

444 22.

445 Heil, M. (2008). Indirect defence via tritrophic interactions. *New Phytol.*, 178, 41-61.

446 23.

447 Hervé, M. (2018). RVAideMemoire: testing and plotting procedures for biostatistics. *R package version*
448 *0.9-69*, 3.

449 24.

450 Huang, T., Jander, G. & de Vos, M. (2011). Non-protein amino acids in plant defense against insect
451 herbivores: representative cases and opportunities for further functional analysis.
452 *Phytochemistry*, 72, 1531-1537.

453 25.

454 Johnson, S.N. & Rasmann, S. (2015). Root-Feeding Insects and Their Interactions with Organisms in
455 the Rhizosphere. In: *Annual Review of Entomology, Vol 60*, pp. 517-535.

456 26.

457 Jones, D.L. & Kielland, K. (2012). Amino acid, peptide and protein mineralization dynamics in a taiga
458 forest soil. *Soil Biology and Biochemistry*, 55, 60–69.

459 27.

460 Jördens, D. & Klingauf, F. (1977). Der Einfluss von L-Dopa auf Ansiedlung und Entwicklung von
461 *Aphis fabae* Scop. an synthetischer Diät. *Med. Fac. Landbouwn Rijksuniv, Gent*, 42, 1411–
462 1419.

463 28.

464 Karban, R. (2008). Plant behaviour and communication. *Ecol. Lett.*, 11, 727-739.

465

466 29.

467 Karban, R. & Baldwin, I.T. (1997). *Induced responses to herbivory*. The University of Chicago Press,
468 Chicago.

469 30.

470 Karban, R., Yang, L.H. & Edwards, K.F. (2014). Volatile communication between plants that affects
471 herbivory: a meta-analysis. *Ecol. Lett.*, 17, 44-52.

472 31.

473 Kessler, A. & Heil, M. (2011). The multiple faces of indirect defences and their agents of natural
474 selection. *Funct. Ecol.*, 25, 348-357.

475 32.

476 Kost, C. & Heil, M. (2006). Herbivore-induced plant volatiles induce an indirect defence in
477 neighbouring plants. *J. Ecol.*, 94, 619-628.

478 33.

479 Moe, L.A. (2013). Amino acids in the rhizosphere: from plants to microbes. *Am J Bot*, 100, 1692–1705.

480 34.

481 Moreira, X. & Abdala-Roberts, L. (2019). Specificity and context-dependency of plant–plant
482 communication in response to insect herbivory. *Current opinion in insect science*, 32, 15-21.

483 35.

484 Moreira, X., Nell, C.S., Katsanis, A., Rasmann, S. & Mooney, K.A. (2016). Herbivore specificity and
485 the chemical basis of plant–plant communication in *Baccharis salicifolia* (Asteraceae). *New*
486 *Phytol.*, n/a-n/a.

487 36.

488 Näsholm, T., Kielland, K. & Ganeteg, U. (2009). Uptake of organic nitrogen by plants. *New*
489 *Phytologist*, 182, 31–48.

490 37.

491 Oksanen, J., Blanchet, F.G., Kindt, R., Legendre, P., Minchin, P.R., O'Hara, R.B. *et al.* (2013). vegan:
492 Community Ecology Package. <http://vegan.r-forge.r-project.org/>.

493 38.

494 Owen, A.G. & Jones, D.L. (2001). Competition for amino acids between wheat roots and rhizosphere
495 microorganisms and the role of amino acids in plant N acquisition. *Soil Biology and*
496 *Biochemistry*, 33, 651–657.

497 39.

498 R Development Core Team (2020). R: A language and environment for statistical computing. R
499 Foundation for Statistical Computing Vienna, Austria.

500 40.

501 Ripley, B., Venables, B., Bates, D.M., Hornik, K., Gebhardt, A., Firth, D. *et al.* (2013). Package ‘mass’.
502 *Cran r*, 538, 113-120.

503 41.

504 Rovira, A.D. (1969). Plant root exudates. *The botanical review*, 35, 35-57.

505

506 42.

507 Sasso, R., Iodice, L., Cristina Digilio, M., Carretta, A., Ariati, L. & Guerrieri, E. (2007). Host-locating
508 response by the aphid parasitoid *Aphidius ervi* to tomato plant volatiles. *Journal of Plant*
509 *Interactions*, 2, 175-183.

510 43.

511 Sasso, R., Iodice, L., Woodcock, C.M., Pickett, J.A. & Guerrieri, E. (2009). Electrophysiological and
512 behavioural responses of *Aphidius ervi* (Hymenoptera: Braconidae) to tomato plant volatiles.
513 *Chemoecology*, 19, 195-201.
514 44.

515 Song, Y.Y., Zeng, R.S., Xu, J.F., Li, J., Shen, X. & Yihdego, W.G. (2010). Interplant communication
516 of tomato plants through underground common mycorrhizal networks. *PloS one*, 5, e13324.
517 45.

518 Turlings, T.C.J. & Erb, M. (2018). Tritrophic interactions mediated by herbivore-induced plant
519 volatiles: mechanisms, ecological relevance, and application potential. *Annu. Rev. Entomol.*,
520 63, 433-452.
521 46.

522 van Dam, N.M. & Bouwmeester, H.J. (2016). Metabolomics in the rhizosphere: Tapping into
523 belowground chemical communication. *Trends Plant Sci.*, 21, 256-265.
524 47.

525 Vet, L.E.M. & Dicke, M. (1992). Ecology of infochemical use by natural enemies in a tritrophic context.
526 *Annu. Rev. Entomol.*, 37, 141-172.
527 48.

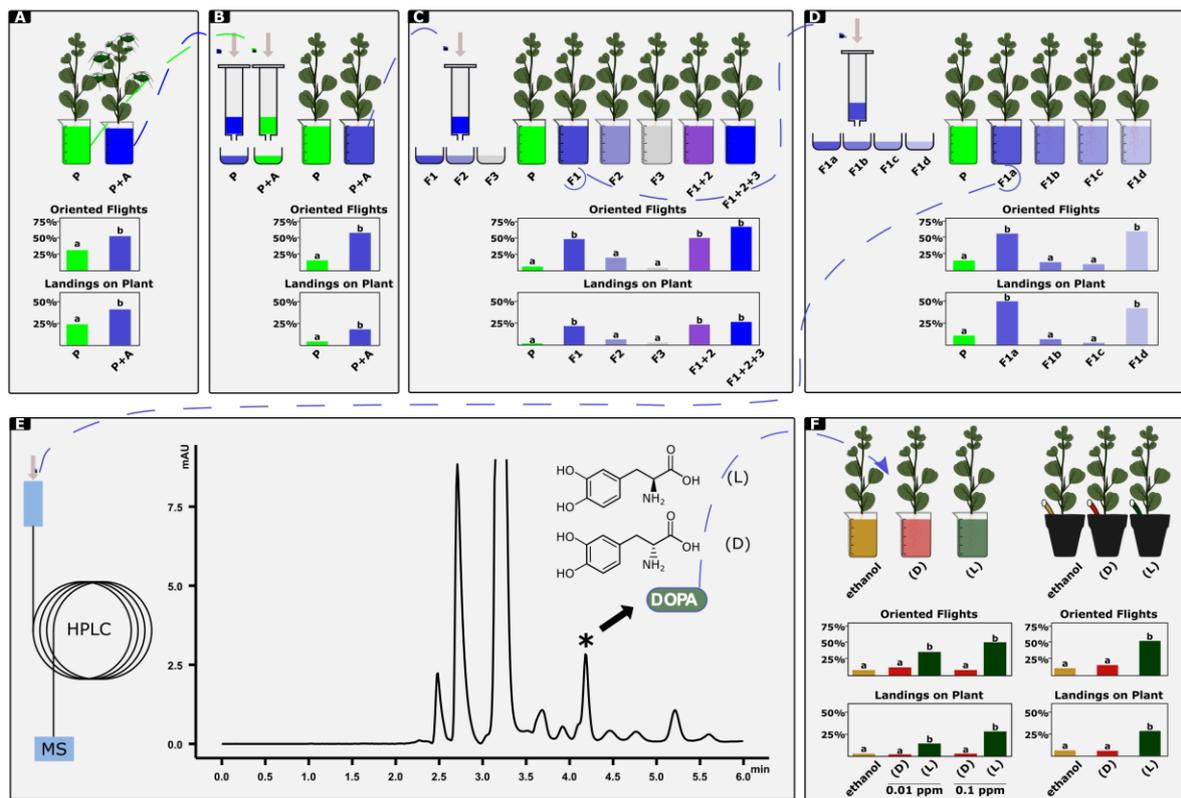
528 Vranova, V., Rejsek, K., Skene, K.R. & Formanek, P. (2011). Non-protein amino acids: plant, soil and
529 ecosystem interactions. *Plant Soil*, 342, 31–48.
530 49.

531 Webster, B., Bruce, T., Dufour, S., Birkemeyer, C., Birkett, M., Hardie, J. *et al.* (2008). Identification
532 of volatile compounds used in host location by the black bean aphid, *Aphis fabae*. *J. Chem.*
533 *Ecol.*, 34, 1153-1161.
534 50.

535 Yang, X.F. & Kong, C.H. (2017). Interference of allelopathic rice with paddy weeds at the root level.
536 *Plant Biol.*, 19, 584-591.
537 51.

538 Zimmerli, L., Jakab, G., Métraux, J.-P. & Mauch-Mani, B. (2000). Potentiation of pathogen-specific
539 defense mechanisms in *Arabidopsis* by β -aminobutyric acid. *Proceedings of the national*
540 *academy of sciences*, 97, 12920-12925.
541 52.

542 **Zhu, J. & Park, K.-C. (2005). Methyl Salicylate, a Soybean Aphid-Induced Plant Volatile Attractive to**
543 **the Predator *Coccinella septempunctata*. *J Chem Ecol*, 31, 1733–1746.**
544
545



547

548

549

550

551

552

553

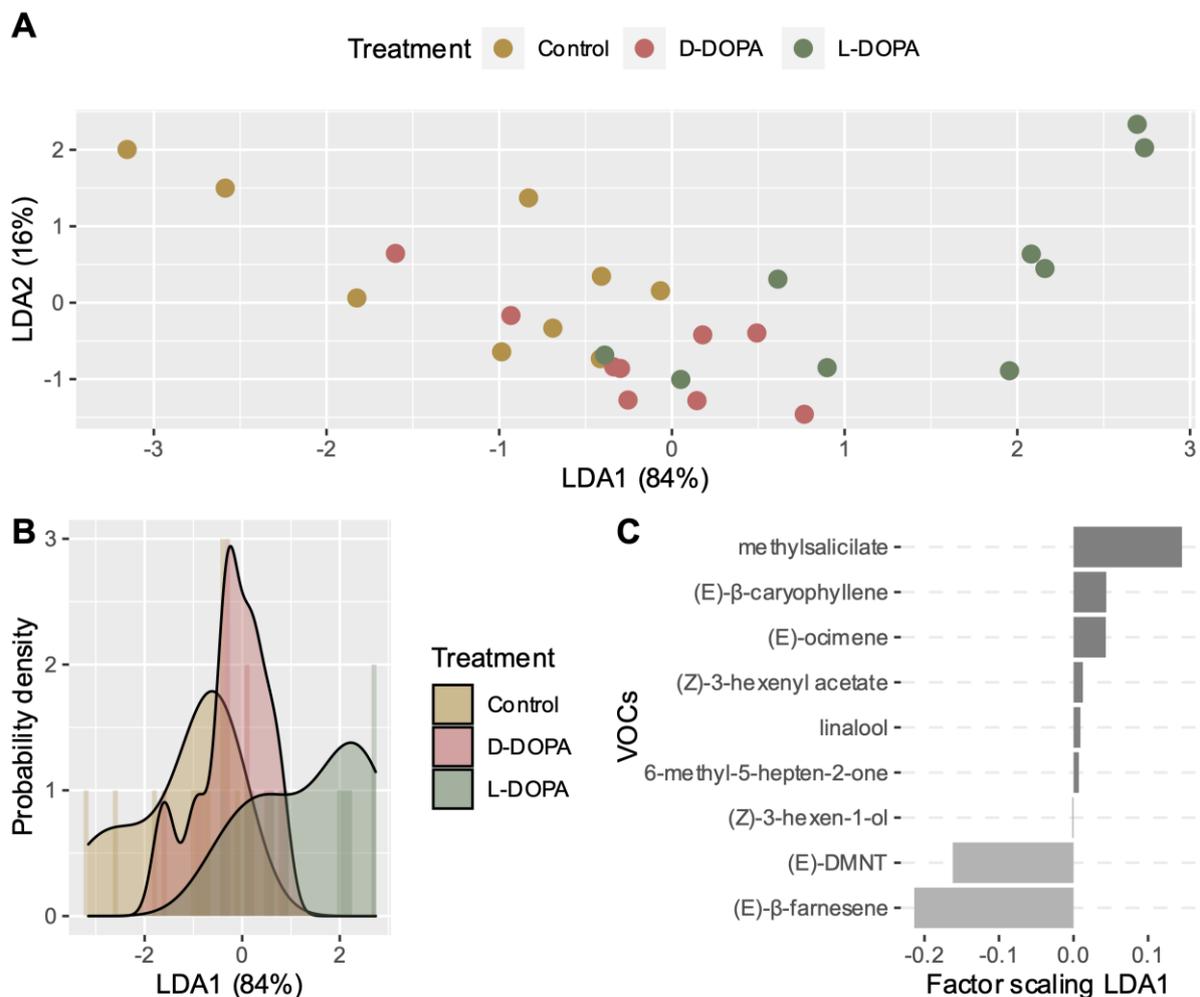
554

555

556

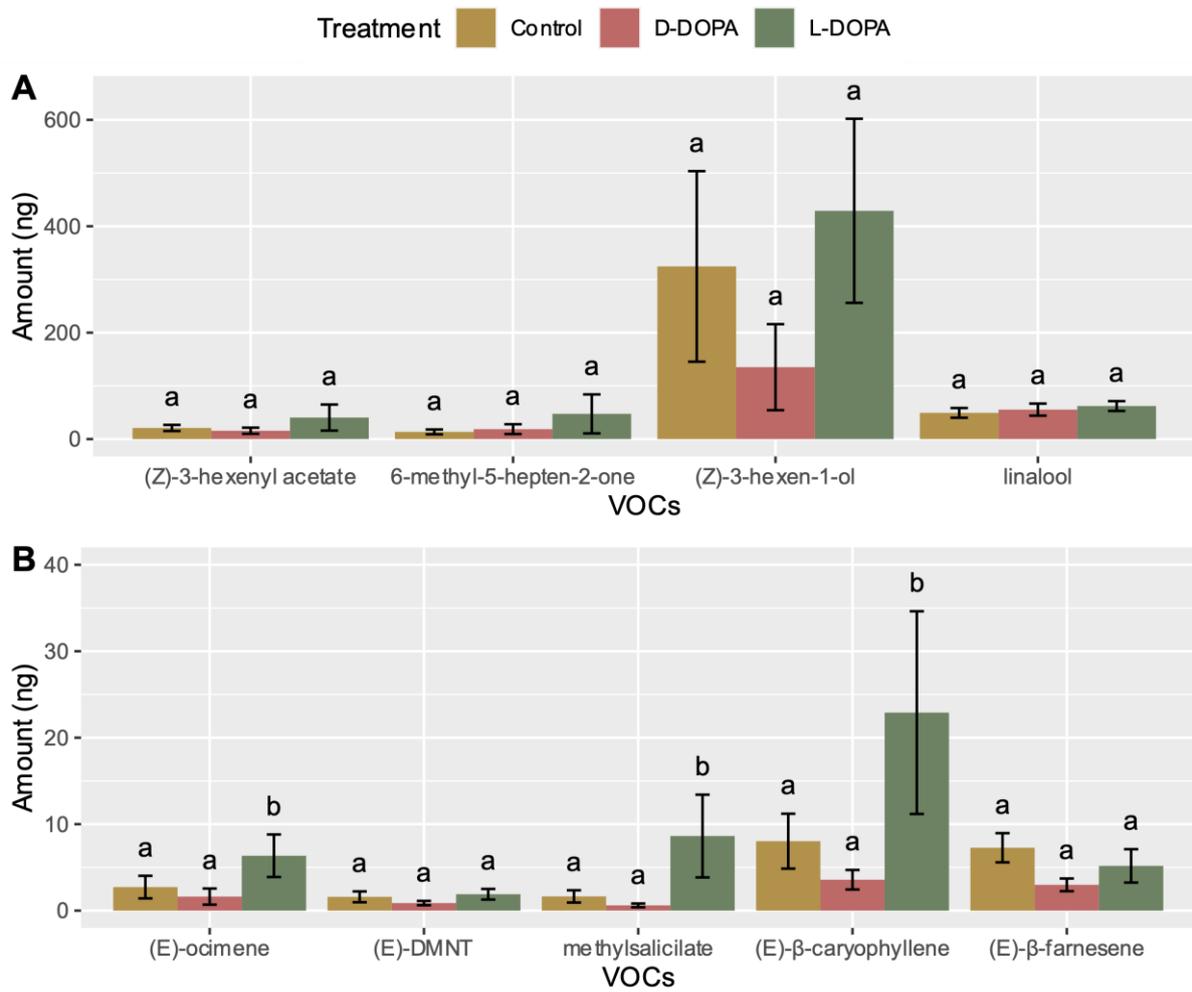
557

Fig. 1. Workflow for identifying root exudates for mediating plant-plant communication. Barplots show results (in %) of the oriented flights and landings of the aphid parasitoids (*Aphidius ervi*) towards bean plants (*Vicia faba*) grown in hydroponic medium (Murashige and Skooge). Behavioural assays for (A) pea aphid (*Acyrtosiphon pisum*)-infested (P+A, blue bar), and uninfested (P, green bar) *V. faba* plants; (B) *V. faba* plants treated with C₁₈-SPE collected root exudate extracts from uninfested (P, green bar) and from *A. pisum*-infested (P+A, blue bar) plants; (C) (P+A) *V. faba* plants treated with LC Fractions (F1, F2, F3) of the roots exudates of the P+A treatment; (D) *V. faba* plants treated with LC F1 subfractions (F1a, F1b, F1c, F1d); (E) peak identification of DOPA; (F) Behavioural assays for *V. faba* plants treated with synthetic DOPA (L or D) in hydroponic solution (left panels), or in the soil (right panels). Different letters above bars indicate significant differences (P<0.05) among treatments.



559

560 **Fig. 2. Linear discriminant analysis (LDA) of aboveground *Vicia faba* volatile organic compounds**
 561 **(VOCs).** VOCs were measured on plants grown in hydroponic medium and treated with Ethanol only
 562 (brown colors), or treated with either D-DOPA (red colors) or L-DOPA (green colors) at 1 ppm. **(A)**
 563 LDA biplot distribution of discriminant scores of leaf VOCs profiles across the three treatments. The
 564 first linear discriminant (LDA1) explains 83% of the between-group variance, and the second linear
 565 discriminant (LDA2) explains 16% of the between-group variance. **(B)** Histograms and density plots
 566 showing the distribution of discriminant scores (from LDA1) of leaf VOCs profiles released by plants
 567 under the three treatments. **(C)** Discriminant coefficients of LDA1 for each VOCs included in the
 568 overall volatile blend. Compounds with negative coefficients (in light grey) reflect negative
 569 discriminant scores of leaf VOCs (control and D-DOPA treated plants), while compounds with positive
 570 coefficient (in dark grey) reflect positive discriminant scores (L-DOPA treated plants).



572

573 **Fig.3. Effect of DOPA isomers on aboveground volatile organic compounds (VOCs) production.**

574 Shown are the (A) the major and (B) the minor VOCs produced by *Vicia faba* leaves, when plants were

575 grown in hydroponic medium and treated with Ethanol only (control, brown bars), or treated with either

576 D-DOPA (red bars) or L-DOPA (green bars) at 1 ppm.